

Client Information Form

Name _____
Address _____
Address2 _____
City, State Zip _____



MyIncomeStrategy.com Member ID _____

Have you received form ADV-II from Klayman Financial LLC? If not then please go to www.MyIncomeStrategy.com/members/advisory to download form ADV-II or call 1-877-MIS.COM (1-877-647-1266) and request form ADV-II.

Fees:

Will be determined upon review of this document, and your acceptance in writing. There are no fees collected until agreed upon by both parties (you and us).

An annual review is available for ½ the price you paid for your original service.

About your situation:

Total Value of your Investments: \$ _____

Annual Income needed from your Investments: \$ _____

Or Total Expenses: \$ _____

Or – Complete Worksheet ‘A’ and enter number here \$ _____

Income from other sources (not investments): \$ _____

Age: ___ years old

Spouse/Partners Age: ___ years old



When do you want the income from your investments to start? _____

If you are currently taking an income, what Income Strategy are you using?

Do you have sources of income that will start in the future, and when do you expect these to start? (Some Examples: pensions, social security, deferred compensation plans, option payouts, inheritance, other lump sum payouts)

Do you have any expenses you think will start in the future and when do you expect these to start? (Some Examples: health care, new home, moving, tuition, tax obligations)

Do you have any current expenses that you believe will inflate faster than the historical rate of inflation (3.1%)?

If you are over 70 ½ how much (or what method) are you using for your Minimum Required Distributions?

If you are under 59 ½ are you taking SEPP (Substantially Equal Periodic Payments)?

About your Experience

Experience in years:

Stocks _____ (Good/Bad/Indifferent) Please Explain: _____

Bonds _____ (Good/Bad/Indifferent) Please Explain: _____

Annuities _____ (Good/Bad/Indifferent) Please Explain: _____

Mutual Funds _____ (Good/Bad/Indifferent) Please Explain: _____

ETF's or UIT's _____ (Good/Bad/Indifferent) Please Explain: _____

Options _____ (Good/Bad/Indifferent) Please Explain: _____

Hedge Funds _____ (Good/Bad/Indifferent) Please Explain: _____

Trusts _____ (Good/Bad/Indifferent) Please Explain: _____

Charitable Contributions _____ (Good/Bad/Indifferent) Please Explain: _____

About your attitudes towards investing

Would you say you are an Aggressive, Moderately Aggressive, Balanced, Moderately Conservative, or Conservative Investor? _____

On a scale of 1 to 100, with 1 the most conservative and 100 the most aggressive, where would you score yourself as an investor? _____

If the Markets were to take off, and you doubled all of your investments what would you do?

If the Markets were to take a sudden dive, and you lost half of all of your investments what would you do?

Any specific reason you would prefer a particular type of investment?

Any specific reason you would prefer to stay away from a particular type of investment?

About your Investments:

Retirement Accounts:

Stocks ____%

Bonds ____%

Cash ____%

Other ____%

Please explain other:

Non-Retirement Accounts:

Stocks ____%

Bonds ____%

Cash ____%

Other ____%

Please explain other:

Please tell us about your investments, and your plans for using your investments for income.

Would you prefer your strategy uses fixed or guaranteed investments only? (Y/N)

Do you want to have the income from your investments aligned with the movement of the stock and bond markets? (investments go up, income/account value goes up, investments go down, income/account value goes down) (Y/N)

Do you have longevity in either your family or your spouse/partners family? (Y/N)

Do want a strategy that you can reset/reevaluate in several years? (Y/N)

If yes then: Would you prefer a shorter period of time or a longer period of time to reset/reevaluate your strategy? (Shorter/Longer)

Please attach any supporting material you feel is relevant to your investments, your income strategy, or your situation.

I am giving Klayman Financial LLC permission to use the information contained in this document for the creation of a Tailored Income & Investment Strategy, it will not to be used for any other means, and will be treated with confidence. I understand that Klayman Financial LLC will keep this information for a period of time as dictated by the appropriate Federal and/or State regulations.

Client Signature

Date

Second Signature

Date

Worksheet 'A'

WHAT I NEED		WHAT I WANT	
groceries	<input type="text"/>	eat out	<input type="text"/>
clothing	<input type="text"/>	travel	<input type="text"/>
rent/mortgage	<input type="text"/>	2nd home	<input type="text"/>
property taxes	<input type="text"/>	2nd home taxes	<input type="text"/>
home repair	<input type="text"/>	2nd home repair	<input type="text"/>
car payment	<input type="text"/>	boat	<input type="text"/>
gasoline	<input type="text"/>	airplane	<input type="text"/>
car insurance	<input type="text"/>	boat upkeep	<input type="text"/>
home insurance	<input type="text"/>	airplane upkeep	<input type="text"/>
umbrella	<input type="text"/>	house cleaning	<input type="text"/>
insurance	<input type="text"/>	newspapers	<input type="text"/>
disability insurance	<input type="text"/>	magazines	<input type="text"/>
life insurance	<input type="text"/>	coffee	<input type="text"/>
tuition	<input type="text"/>	gifts	<input type="text"/>
gas	<input type="text"/>	liquor	<input type="text"/>
electric	<input type="text"/>	cell phone	<input type="text"/>
propane	<input type="text"/>	Satellite TV	<input type="text"/>
telephone	<input type="text"/>	Satellite Radio	<input type="text"/>
income taxes	<input type="text"/>	Cable TV	<input type="text"/>
other 'Needs'	<input type="text"/>	other 'Wants'	<input type="text"/>
	\$0		\$0
		Total Expenses	\$0

Total Needs + Total Wants = Total Expenses

Any other information about your situation, please tell us (attach more sheets if necessary):

KE

*Klayman Financial*sm
LLC

A Registered Investment Advisor